



**PATENT APPLICATION  
DOCKET NO.: 46847-00003USPT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:  
Andrea Fumagalli and  
Qingzhong Cai

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§

Serial No.: 10/003,005

Group Art Unit: 2651

Filing Date: November 14, 2001

Examiner: Not Assigned

For: SYSTEM AND METHOD FOR CONFIGURING OPTICAL CIRCUITS

Commissioner for Patents  
Attention: Box Missing Parts  
P.O. Box 2327  
Arlington, VA 22202


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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Attention: Box Missing Parts, P.O. Box 2327, Arlington, VA 22202.	
on: February	26, 2002
<i>Marcy Overstreet</i>	
Signature	Marcy Overstreet

Dear Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

In response to the Notice to File Missing Parts of Nonprovisional Application mailed by the Initial Patent Examination Division on December 28, 2001, please find enclosed a Declaration and Power of Attorney duly executed by the inventors and Four (4) Sheets of Drawings (Figs. 1-7).

A check in the amount of \$65.00 is enclosed for the surcharge as set forth in 37 CFR 1.16(e).

  
Brian D. Walker  
Registration No. 37,751

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/003,005	11/14/2001	Andrea Fumagalli	46847-00003USPT

JENKENS & GILCHRIST, P.C.  
1445 Ross Avenue, Suite 3200  
Dallas, TX 75202-2799



CONFIRMATION NO. 3297

## FORMALITIES LETTER



\*OC000000007240249\*

Date Mailed: 12/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

03/08/2002 JADD01 00000006 10003005

01 FC:205

65.00 GP

*A copy of this notice **MUST** be returned with the reply.*

Harman International

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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# Jenkins & Gilchrist

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on: February 26, 2002

Signature *Marcy Overstreet*  
Marcy Overstreet

Re: Applicant(s): Andrea Fumagalli and Qingzhong Cai  
Serial No. 10/003,005  
Filing Date: November 14, 2001  
For: SYSTEM AND METHOD FOR CONFIGURING OPTICAL  
CIRCUITS  
Docket No.: 46847-00003USPT

Dear Sir:

Transmitted for filing with the Patent and Trademark Office are the following documents for  
the above-referenced patent application:

1. Response to Notice to File Missing Parts of Application;
2. Notice to File Missing Parts of Nonprovisional Application;
3. Declaration and Power of Attorney (executed);
4. Four (4) sheets of formal drawings (Figs. 1-7);
5. Assignment (executed) and Assignment Cover Sheet;
6. A check in the amount of \$65.00 for Notice to File Missing Parts Surcharge;
7. Two checks in the amount of \$40.00 each for Assignment Recordation fee; and
8. Acknowledgment postcard.

Please address all communications related to this to:

Brian D. Walker  
Jenkins & Gilchrist, P.C.  
3200 Fountain Place  
1445 Ross Avenue  
Dallas, Texas 75202-2799

**Jenkins & Gilchrist**  
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In the event there is an under or over payment, please debit or credit our Deposit Account #10-0447.

Respectfully submitted,

Date: February 23, 2002

Brian D. Walker  
Registration No. 37,751

**BOOK REVIEW**